

Hans Bach

Dieter Krause

Editors

Thin Films on Glass

With 217 Figures
and 46 Tables



Springer

Contents

1. Overview – Thin Films on Glass: an Established Technology	1
<i>Burkhard Danielzik, Martin Heming, Dieter Krause, Alfred Thelen</i>	
1.1 Introduction: Why Surface Coating?	1
1.2 Coating Materials	2
1.3 Thin-Film Forming Processes	8
1.4 Fabrication Issues for Coatings	11
1.5 Product and Overall Process Design	13
1.6 Today's Situation and Trends	17
References	19
2. Design Strategies for Thin Film Optical Coatings	23
<i>Alfred Thelen</i>	
2.1 Optical Thin Film Theory	24
2.2 Exact Methods	25
2.2.1 Equivalent Layers	25
2.2.2 Simulation of a Single Layer by a Multilayer	29
2.2.3 Chebyshev Synthesis	30
2.2.4 Effective Interfaces	32
2.2.5 Buffer Layers	33
2.2.6 Absentee Layers	34
2.3 Approximate Methods Based on Starting Designs	35
2.4 Numerical Synthesis	37
2.5 Results of Recent Design Contests	40
2.5.1 Berlin Contest 1991	40
2.5.2 Tucson Contest 1995	41
2.6 Design Strategies for the Different Deposition Technologies ..	45
2.7 Conclusion	47
References	48

3. Coating Technologies	51
3.1 Physical Vapour Deposition	
<i>Ulrich Jeschkowski, Hansjörg Niederwald</i>	51
3.1.1 Non-Reactive Evaporation	51
3.1.2 Reactive Evaporation	53
3.1.3 Energy-Enhanced Evaporation	53
3.1.4 Sputtering	57
3.2 Chemical Vapour Deposition	
<i>Wolfgang Möhl</i>	59
3.2.1 Techniques of Chemical Vapour Deposition	59
3.2.2 Peculiarities of the Various Techniques	61
3.2.3 Variation of Processing Conditions and Properties	63
3.3 Sol-Gel Coating Processes	
<i>Wolfram Beier</i>	66
3.3.1 Sol-Gel Chemistry	66
3.3.2 Sol-Gel Fractals	73
3.3.3 Dip Coating	75
3.3.4 Spin Coating	77
3.3.5 Heat Treatment	80
3.4 Thermal Coating Processes	
<i>Joachim Disam, Dirk Gohlke, Katharina Lübbbers</i>	83
3.4.1 Processes and Materials	83
3.4.2 Applications	89
References	92
4. Properties and Characterization of Dielectric Thin Films	99
4.1 Surfaces of Substrate Glasses	
<i>Klaus Bange</i>	101
4.2 Macroscopic Properties of Thin Films	
<i>Klaus Bange, Clemens Ottermann</i>	104
4.2.1 Density	104
4.2.2 Optical Properties of Coatings	106
4.2.3 Electrical Conductivity	113
4.2.4 Mechanical Properties	114
4.3 Microscopic Properties	
<i>Klaus Bange</i>	125
4.3.1 Composition	125
4.3.2 Oxidation State	128
4.3.3 Structure of Oxide Films	130

4.4	Examples of the Characterization of Thin Film Materials	135
4.4.1	Titanium Oxide <i>Olaf Anderson, Klaus Bange, Clemens Ottermann</i>	135
4.4.2	Silicon Oxides <i>Olaf Anderson, Clemens Ottermann</i>	159
4.4.3	Tantalum Oxide Layers <i>Klaus Bange</i>	171
4.4.4	Nickel Oxide and Hydrous Nickel Oxide <i>Klaus Bange</i>	175
4.4.5	Tungsten Oxide <i>Klaus Bange</i>	189
4.5	Properties of Multilayer Systems <i>Clemens Ottermann</i>	201
	References	203
5.	Developments at Schott: Selected Topics	225
5.1	The Pioneering Contributions of W. Geffcken to the Field of Optical Coatings from 1935 to 1945 <i>Alfred Thelen</i>	225
5.1.1	How Thin Films Came to Schott	226
5.1.2	Multilayer Antireflection Coatings	227
5.1.3	Theory of Periodic Multilayers	230
5.1.4	Other Contributions	236
5.1.5	Conclusions	236
5.2	Interference Filters <i>Ulrich Jeschkowski</i>	237
5.2.1	Coating Technology	237
5.2.2	Computer-Aided Design and Manufacturing	239
5.2.3	Products	240
5.3	Plasma Impulse Chemical Vapour Deposition (PICVD) <i>Dieter Krause</i>	243
5.3.1	Fundamentals of the PICVD Process	243
5.3.2	Impact of the Environment on the Optical Performance of Thin Films	247
5.3.3	Flip-Flop Layers and the "Design-to-Go" Concept	251
5.3.4	Multilayer Stacks and Rugate Filter	253
5.3.5	Summary	258

5.4	Electrochromic Devices	
	<i>Klaus Bange, Friedrich G.K. Baucke</i>	259
5.4.1	The Layer Components of Electrochromic Devices	261
5.4.2	Typical Examples of Electrochromic Devices	264
5.5	Electron-Sensitive Coatings	
	<i>Frank-Thomas Lentz</i>	270
5.5.1	Physical/Chemical Principles for Generating Optical Extinction	272
5.5.2	Structural Aspects of Ag-Containing Electron-Sensitive Layers	276
5.5.3	Experimental Determination of Properties	278
5.5.4	Modelling of the Generation and the Stability of Ag Colloids	285
	References	289
6.	Products	295
6.1	The Principle of Interference Filters	
	<i>Klaus-Dieter Loosen</i>	295
6.1.1	Spectral Specification of Interference Filters	296
6.1.2	All-Dielectric Filters	299
6.1.3	Metal Dielectric Filters	308
6.1.4	Induced-Transmission Filters	310
6.2	A Universal Transducer for Optical Interface Analytics: Transducer Design and Concepts for an Economical Mass Production	
	<i>Burkhard Danielzik, Wolfgang Ehrfeld, Christof Fattinger, Martin Heming, Holger Löwe, Andreas Michel, Frank Michel, Norbert Oranath, Jürgen Spinke</i>	311
6.2.1	Optical Transducer	313
6.2.2	Materials and Processes	320
6.3	Laser Coatings	
	<i>Wolfgang Rupp</i>	335
6.3.1	Lasers	335
6.3.2	Laser Applications	336
6.3.3	Carl Zeiss Lasers	338
6.3.4	Requirements on Laser Coatings	341
6.4	Cold-Light Reflectors	
	<i>Lars Bewig, Thomas Küpper, Roland Langfeld</i>	344
6.4.1	Requirements and Design	344
6.4.2	Applications	346
6.4.3	Processes	346
6.4.4	Glass-Ceramic Reflectors with SCHOTT PI Coating® <i>Thomas Küpper, Christoph Moelle, Lars Bewig</i>	348

6.5	Automotive Rear-View Mirrors	
	<i>Falko v. Unger</i>	353
6.5.1	Specifications for Automotive Mirrors	354
6.5.2	Manufacturing Process	356
6.6	Large Area Sol-Gel Dip Coatings	
	<i>Eckart K. Hussmann</i>	359
6.6.1	Historical Background	359
6.6.2	Sol-Gel Dip Coating Process	360
6.6.3	Dip Coating Facility	361
6.6.4	Influence of Process Parameters on Properties of the Coatings	363
6.6.5	Accuracy of the Dip Coating Process	365
6.6.6	Solar Control Coatings: Calorex®	365
6.6.7	Antireflection Coatings	370
6.6.8	Dichroic Coatings	372
6.7	Schott Type I Plus® Containers for Pharmaceutical Packaging	
	<i>Marten Walther</i>	373
6.7.1	Selection of Coating Technology	374
6.7.2	Layer Structure	375
6.7.3	Process Control	375
6.7.4	Characterization	377
6.7.5	Formation of Glass Particles	379
6.8	Ophthalmic Coatings	
	<i>Michael Witzany</i>	380
6.8.1	Market Review	380
6.8.2	Layer Systems	381
6.8.3	Processes	382
6.8.4	Requirements on Ophthalmic Coatings	386
6.8.5	Production of Eyeglasses at Carl Zeiss	388
6.9	IR-Reflecting Multilayer Films for Energy-Efficient Lamps	
	<i>Hrabanus Hack, Torsten Holdmann</i>	389
6.9.1	The Principle	389
6.9.2	Materials	390
6.9.3	Deposition Processes	391
6.9.4	IR-Reflecting Coating by PICVD	391
6.9.5	Possible Lamp Configurations	392
6.10	Coatings on Plastics with the PICVD Technology	
	<i>Markus Kuhr, Stefan Bauer, Uwe Rothhaar, Detlef Wolff</i>	393
6.10.1	General Experimental Procedure	393
6.10.2	Substrate Cleaning	394
6.10.3	Preconditioning - Interaction of Plasma with the PMMA Surface	395

6.10.4 Adhesion of the Layer System	399
6.10.5 Scratch Resistance	401
6.11 Optical Multilayers for Ultra-Narrow Bandpass Filters Fabricated by PICVD	
<i>Stefan Bauer, Lutz Klippe, Uwe Rothhaar, Markus Kuhr</i>	407
6.11.1 Experimental Procedure	409
6.11.2 Results and Discussion	410
References	413
List of Contributors	421
Sources of Figures and Tables	425
Index	427